



PTO/SB/08A (08-03)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/783,375
Filing Date	February 20, 2004
First Named Inventor	Joseph J. Kubler et al.
Group Art Unit	2616
Examiner Name	Chin, Wellington
Attorney Docket No.	14364US20

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	4,553,081	11-12-1985	Koenck	
	A2	4,716,354	12-29-1987	Hacker	
	A3	4,961,043	10-02-1990	Koenck	
	A4	5,260,988	11-09-1993	Schellinger et al.	
	A5	5,371,898	12-06-1994	Grube et al.	
	A6	5,420,911	05-30-1995	Dahlin et al.	
	A7	5,452,289	09-19-1995	Sharma et al.	
	A8	5,533,019	07-02-1996	Jayapalan	
	A9	5,546,077	10-08-1996	Obayashi et al.	
	A10	5,594,782	01-14-1997	Zicker et al.	
	A11	5,610,910	03-11-1997	Focsaneanu et al.	
	A12	5,654,957	08-05-1997	Koyama	
	A13	5,754,542	05-19-1998	Ault et al.	
	A14	5,764,736	06-09-1998	Shachar et al.	
	A15	5,777,991	07-07-1998	Adachi et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)				
	B1	PCT/WO91/08629	06-13-1991	Berken		

**OTHER ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

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DATE CONSIDERED

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J:\Open\phs\Broadcom (1772)\Qualcomm\Santa Ana 05-467 Litigation Statement & IDS\5,657,317 and 6,389,010 - VoIP\No Fee\14364US20\14364US20  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
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		Filing Date	February 20, 2004
		First Named Inventor	Joseph J. Kubler et al.
		Group Art Unit	2616
		Examiner Name	Chin, Wellington
Attorney Docket No.	14364US20		
Sheet	1	of	2

U.S. PATENT DOCUMENTS					
Examiner Initial*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>PP</i>	A12	5,335,276	08/02/1994	Thompson	
<i>PP</i>	A13	5,764,736	06/09/1998	Shachar	
<i>PP</i>	A14	5,912,882	06/15/1999	Yafuso	
<i>PP</i>	A15	4,914,586	04/03/1990	Swinehart	
<i>PP</i>	A16	6,006,100	12/21/1999	Koenck et al.	
<i>PP</i>	A17	5,682,379	10/28/1997	Mahany et al.	
<i>PP</i>	A18	6,359,872	03/19/2002	Mahany et al.	

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		Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (If known)				
	B4	WO 92/02084	02/06/1992	Koenck		

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<i>PP</i>	C10	Defendant And Counterclaim Plaintiff Qualcomm Incorporated's Final Invalidity Contentions, With Exhibit C, 11/21/2006
<i>PP</i>	C12	HARRICK et al., "Multimedia Conferencing In The Etherphone Environment," IEEE Computer, Vol. 24, No. 10, 10/1991
<i>PP</i>	C13	SWINEHART et al., "Adding Voice To An Office Computer Network", Proceedings of IEEE Globecom '83, 02/1984
<i>PP</i>	C14	ZELLWEGER et al., "An Overview Of The Etherphone System And Its Applications," Proceedings of the 2 <sup>nd</sup> IEEE Conference on Computer Workstations, 1988
<i>PP</i>	C17	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.05, 06/02/1994, 457QB00835840-77
<i>PP</i>	C18	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 2.09, 03/06/1995, 457QB00836280-319
<i>PP</i>	C19	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.02, 04/05/1995, 457QB00836414-53

EXAMINER SIGNATURE	<i>Russell Jr</i>	DATE CONSIDERED	2/11/08
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
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				Filing Date	February 20, 2004
				First Named Inventor	Joseph J. Kubler et al.
				Group Art Unit	2616
				Examiner Name	Chin, Wellington
				Attorney Docket Number	14364US20
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<i>BJ</i>	C20	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.04, 05/08/1995, 457QB00836798-834
<i>BJ</i>	C21	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.04a, 06/02/1995, 457QB00836532-69
<i>BJ</i>	C22	WEISSMAN et al., End-To-End Security For Commercial PCS Networks, 04/23/1995, 457QB00836748-56
<i>BJ</i>	C23	Defendant Qualcomm Incorporated's Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 1-19)
<i>BJ</i>	C24	Defendant Qualcomm Incorporated's Second Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 1-19), 03/01/2006
<i>BJ</i>	C25	Defendant Qualcomm Incorporated's Third Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 5, 10)
<i>BJ</i>	C26	Defendant Qualcomm Incorporated's Fifth Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 2-4, 60 And First Supplemental Response To Interrogatory No. 18
<i>BJ</i>	C27	DeTreville et al., "A Distributed Experimental Communication System", IEEE Journal on Selected Areas in Communications, Vol. SAC-1, No.6, Dec. 1983.
<i>BJ</i>	C28	FYE, "Expert Report of Donald M. Fye Regarding U.S. Patent No. 6,389,010", with Appendix A and Exhibits 1-10, and 12-18, 12/22/2006

EXAMINER <i>Ronell J. Jr</i>	DATE CONSIDERED <i>2/1/08</i>
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Rev. Aug. 02

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PTO/SB/08a (07-08)

Approved for use through 09/30/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete If Known</b>	
				Application Number	10/783,375
				Filing Date	February 20, 2004
				First Named Inventor	Joseph J. Kubler
				Art Unit	2661
				Examiner Name	Not yet assigned
Sheet	1	of	2	Attorney Docket Number	14364US20

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		6,850,510	February, 2005	Kubler et al.	
		5,508,847	April, 1998	Shobatake	
		5,650,994	July, 1997	Deley	
		5,745,556	April, 1998	Ronen	
		5,842,224	November, 1998	Fenner	
		6,108,704	August, 2000	Hutton et al.	
		6,131,121	October, 2000	Mattaway et al.	
		6,389,010	May, 2002	Kubler et al.	
		5,818,836	October, 1998	DuVal	
		5,850,385	October, 1998	Esaki	
		5,737,395	April, 1998	Iribarren	
		5,608,786	March, 1997	Gordon	
		5,726,984	March, 1998	Kubler et al.	
		4,789,983	December, 1988	Acampora et al.	
		5,359,598	October, 1994	Steagall et al.	
		5,434,858	July, 1995	Focartie et al.	
		5,446,736	August, 1995	Gleeson et al.	
		4,837,858	June, 1989	Ablay et al.	
		5,425,051	June, 1995	Mahany et al.	
		5,295,154	March, 1994	Meier et al.	
		4,538,259	August, 1985	Moore et al.	
		5,142,534	August, 1992	Simpson et al.	

FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	2/5/08
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Substitute for form 1449B/PTO

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Sheet	2	of	2
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**Complete if Known**

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<b>Filing Date</b>	February 20, 2004
<b>First Named Inventor</b>	Joseph J. Kubler
<b>Art Unit</b>	2661
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	14364US20

### NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	<i>Paul J. [Signature]</i>	Date Considered	2/1/08
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		Filing Date	February 20, 2004
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		Group Art Unit	2816
		Examiner Name	Chin, Wellington
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<i>PP</i>	A11	5,394,436	02/28/1995	Meier et al.	

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PP PP	B2	WO 94/11967	05/26/1994	Weeks		
	B3	WO 96/05592	02/22/1996	Qualcomm		

OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
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<i>PP</i>	C3	HUANG, "Data Replication For Mobile Computers", ACM-SIGMOD, 05/1994
<i>PP</i>	C4	BLAZE, "Catching In Large-Scale Distributed File Systems", PhD thesis, Princeton University, 01/1993
<i>PP</i>	C5	IMIELINSKI et al., "Mobile Wireless Computing: Solutions And Challenges In Data Management", Dept. of Computer Science, Rutgers University, 1992
<i>PP</i>	C6	DOUGLAS, "Transparent Process Migration: Design Alternatives And The Sprite Implementation", Software-Practice And Experience, Vol.: 21(8), 757-785, 08/1991
<i>PP</i>	C7	BARTAL et al., "The Distributed k-Server Problem - A Competitive Distributed Translator for k-Server Algorithms, 1992
<i>PP</i>	C8	BARBARA et al., "Replicated Data Management In Mobile Environments: Anything New Under The Sun?", 10/22/1993
<i>PP</i>	C9	HUANG et al., "Object Allocation In Distributed Databases And Mobile Computers", 02/1994

EXAMINER SIGNATURE	<i>Shenell Jones</i>	DATE CONSIDERED	2/15/2008
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Sheet	1	of	1
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## OTHER ART -- NON PATENT LITERATURE DOCUMENTS

include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

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Patents Currently Asserted by Broadcom in Civil Action No. 05-467 (CD Cal.)

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